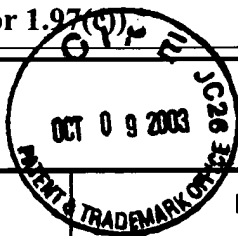


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
17022

In Re Application Of: **Wen-Chung Chu et al.**



Serial No.  
10/662,167

Filing Date  
September 10, 2003

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: **AROMATIC DIAMINE DERIVATIVES, THE PREPARATIONS THEREOF, AND ALIGNMENT  
FILM MATERIALS CONTAINING SAME FOR LIQUID CRYSTAL DISPLAY CELL**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

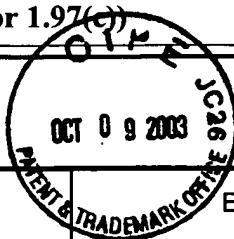
**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
17022

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**AROMATIC DIAMINE DERIVATIVES, THE PREPARATIONS THEREOF, AND ALIGNMENT  
FILM MATERIALS CONTAINING SAME FOR LIQUID CRYSTAL DISPLAY CELL**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

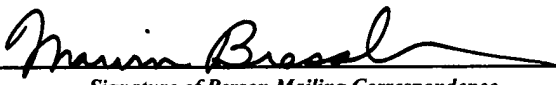
\_\_\_\_\_  
(Date)

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Signature

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 10/07/03 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

Marvin Bressler

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: October 7, 2003

Marvin Bressler  
Registration No. 25,132  
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400 Garden City Plaza  
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516-742-4343

cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Wen-Chung Chu et al.

**Examiner:** Unassigned

**Serial No:** 10/662,167

**Art Unit:** Unassigned

**Filed:** September 10, 2003

**Docket:** 17022

**For:** AROMATIC DIAMINE DERIVATIVES,  
THE PREPARATIONS THEREOF, AND  
ALIGNMENT FILM MATERIALS  
CONTAINING SAME FOR LIQUID  
CRYSTAL DISPLAY CELL

**Dated:** October 7, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants, in order to meet their duty of disclosure, set forth at 37 C.F.R.

§1.56, submit those references which they deem pertinent to the claims of the present application.


The relevant references are Japanese Patent Publication Nos. 05-313169; and

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450, on October 7, 2003.

**Dated:** October 7, 2003

  
Marvin Bressler

07-287235; and U.S. Patent 5,773,559.

Applicants desire that the aforementioned references be made of record in the file of the present application. To that end applicants have enclosed these references and reported same on completed Form PTO-1449. It is noted that the references enclosed include an English translation of JP 05-313169 and an abstract and the claims of JP 07-287235 in English. Applicants request that the examiner initial these references on completed Form PTO-1449 and make them of record on the file of the present application.

The instant Information Disclosure Statement is filed within three months of the filing date of the subject application. Therefore, the present Information Disclosure Statement is filed under 37 C.F.R. §1.97(b)(1). An Information Disclosure Statement filed under this rule need be accompanied by neither certification nor fee.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Marvin Bressler", with a long horizontal flourish extending to the right.

Marvin Bressler  
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Attorney for Applicants

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